

FOR NACIONAL

SOBRE FRAUDE
Y CORRUPCIÓN

Technical Program 2025



Instituto Mexicano de
Auditores Internos, A.C.

Introduction

Dear colleagues, friends, and professionals:

The celebration of **the National Forum on Fraud and Corruption** represents a high-level space for analysis, reflection, and the construction of solutions in response to one of the most persistent and complex challenges facing the Mexican State: systemic corruption and institutional fraud.

In an environment where public trust is eroded by improper practices, this open and plural Forum brings together specialists, authorities, academics, and professionals committed to integrity, legality, and accountability, to exchange knowledge, experiences, and proposals that strengthen the rule of law.

Participation in this forum not only allows access to the most authoritative voices in the field, but also offers attendees practical tools, analytical frameworks, and comparative perspectives that can be applied in their respective areas of responsibility.

At a time when public ethics and transparency are indispensable conditions for democratic governance and sustainable development, the anti-corruption forum organized by the IMAI aims to establish itself as a strategic platform to promote a more effective, articulated, and results-oriented anti-corruption agenda.

Instituto Mexicano de Auditores Internos, A.C. / IIA-Mexico

More information and registration:

<https://foronacional.com.mx/portal/>

AGENDA

Thursday, June 12, 2025

Confirmed In-person Speakers

CDMX TIME	SPEAKER	SESSION	TOPIC
08:15 - 08:55	RECORD		
08:55 - 09:00	Inaugural Message		
09:00 - 10:00	 John D. Gill, J.D., CFE President of the Association of Certified Fraud Examiners (ACFE International)	Auditing the Ethical Culture of the Organization: A Practical Approach	
10:00 - 10:20	BREAK 1 - (In-Person and virtual expo and interaction between participants)		
10:20 - 11:20	 Zoë Reiter Director at the National Endowment for Democracy (NED), Co-Founder of the Anti-Corruption Data Collective, and Former U.S. Representative at Transparency International	Emerging Risks for Combating Corruption and Financial Crimes in the Current Geopolitical Landscape	
11:20 - 11:40	BREAK 2 - (In-Person and virtual expo and interaction between participants)		
11:40 - 12:40	 Juan Ramirez Valdespino, GCFA Senior Director of Digital Forensic Investigation at MNP, Canada	From Traditional Internal Fraud to Cyber Fraud – The Investigation From Traditional Internal Fraud to Cyber Fraud – Digital Forensic Investigation as a Comprehensive Mitigation Strategy	
12:40 - 13:00	BREAK 3 - (In-Person and virtual expo and interaction between participants)		
13:00 - 14:00	 Sergio Eduardo Huacuja Betancourt Expert in Anti-Corruption and Managing Partner of Huacuja Betancourt and Haw Mayer Abogados	Mexico Against Corruption: Diagnosis, Challenges and Perspectives in the Current Environment	
14:00 - 15:15	FREE TIME - BREAK (Food in-person and virtual Expo and Interaction between participants)		
15:15 - 16:15	 Juliette Gust, CFE, PI Founder and President of Ethics Suite	Development of a World-Class Complaints and Case Management Program	
16:15 - 16:35	BREAK 4 - (In-Person and virtual expo and interaction between participants)		
16:35 - 17:35	 Prabhat Kumar, CPA Chief Audit Executive Global Audit, ERM & Strategic Data Analytics Leader	Empowering Fraud & Corruption Prevention and Investigation Through Data Analytics	

Friday, June 13, 2025

Confirmed In-person Speakers

CDMX TIME	SPEAKER	SESSION	TOPIC
09:00 - 10:00	 Paul Starrett Founding Partner of Starrett Consulting	Empowering Anti-Fraud Investigations – Machine Learning and Artificial Intelligence	
10:00 - 10:20	BREAK 1 - (In-Person and virtual expo and interaction between participants)		
10:20 - 11:20	 Kelly Paxton, CFE International Speaker, Researcher, Consultant, and Author on Fraud and Organizational Ethics	Catch Me If You Can! – Today's Pink- Collar Criminals	
11:20 - 11:40	BREAK 2 - (In-Person and virtual expo and interaction between participants)		
11:40 - 12:40	 Felipe López Owner of Soluciones	GRC and Whistleblowing Channels: Strengthening the Corporate Immune System	
	 Marilen Correa Commercial Manager Grupo Mitiga LATAM		
12:40 - 13:00	BREAK 3 - (In-Person and virtual expo and interaction between participants)		
13:00 - 14:00	 Dr. Doug White, CISSP, CCNA Professor and President of Secure Technology, LLC	Preservation of Digital Evidence in Audit Findings and Anti-Fraud Investigations	
14:00 - 15:15	FREE TIME - BREAK (Food in-person and virtual Expo and Interaction between participants)		
15:15 - 16:15	 Michele Crymes, Esq. Anti-Corruption and Governance Expert	Building Trust: Responsibility of Micro, Small, and Medium Enterprises (MSMEs) in Strengthening Integrity Systems in Mexico and Abroad	
	 Gabriela Blanco Expert in Compliance and Corporate Integrity		
16:15 - 16:35	BREAK 4 - (In-Person and virtual expo and interaction between participants)		
16:35 - 17:35	 Luz María Guzmán Lozano Head of the Anti-Corruption Program at the United Nations Office on Drugs and Crime (UNODC) in Mexico	Corruption as an Enabling Factor of Transnational Organized Crime - United Nations Best Practices and Perspectives for its Prevention and Combat.	
17:35 - 17:40	CLOSING		

FOR
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Speakers
and
Conferences
2025





John D. Gill J.D.

CFE

BIOGRAPHY

John Gill is the President of the Association of Certified Fraud Examiners (ACFE). He also serves as an advisory member to the ACFE Board of Regents.

Gill began his career at the ACFE in 1995 as its first general counsel. He then created, and was the first director of, the ACFE's research and publications department. His most recent position was Chief Training Officer where he oversaw all of the ACFE's training activities.

In 2006, he developed the CFE Exam Review Course and has taught that class on six continents. He has also conducted training for Fortune 50 companies; federal, state, and local government agencies; and professional organizations across the globe.

Gill graduated from the University of Arkansas with a Bachelor's Degree in English, and he earned his Doctor of Jurisprudence, with honors, from the University of Texas School of Law. Prior to working for the ACFE, Mr. Gill was an attorney in the Austin, Texas office of the law firm of Bragg, Smithers and Curry, where he was involved with civil fraud, insurance, and deceptive trade practices cases.

Gill is a co-author of The Fraud Examiners Manual. He is the former publisher of Fraud Magazine and is a contributor to the magazine.

Mr. Gill is a member of the Austin Chapter of ACFE, Phi Beta Kappa, and the State Bar of Texas



Auditing the Ethical Culture of the Organization: A Practical Approach

In today's organizational environment, maintaining a strong ethical culture is crucial for preventing fraud and ensuring long-term organizational success. Ethical lapses can lead to significant financial losses, legal penalties, and reputational damage both in private and public sector scenarios.

In this conference, designed for seasoned internal audit professionals, anti-fraud experts, and assurance specialists, Mr. John Gill, ACFE's President, will provide a comprehensive understanding of the role of ethical culture audits in preventing fraud and corruption within organizations, while navigating the common associated challenges, such as **cultural resistance and measurement difficulties**.

Through Mr. Gill's long expertise, attendees will analyze case studies and learn best practices to assess and strengthen the organization's **Ethical Climate; Integrity Policies and Procedures; Training and Awareness Programs; Leadership and Tone at the Top, as well as Reporting Mechanisms**.

With increasing regulatory scrutiny and stakeholder expectations, organizations must prioritize ethical culture audits & assessments as part of their overall risk management strategy. The integration of technology and data analytics in auditing processes is also enhancing the effectiveness of these audits.

Key Takeaways

- **Enhanced Understanding of Ethical Culture Audits:** Gain a deep understanding of the principles and practices involved in auditing an organization's ethical culture.
- **Practical Tools and Techniques:** Learn about the latest tools and techniques for conducting effective ethical culture audits.
- **Real-World Insights:** Benefit from case studies and examples that illustrate the practical application of ethical culture audits in fraud prevention.
- **Strategies for Overcoming Challenges:** Acquire strategies to address common challenges and obstacles in auditing ethical culture.
- **Future-Proofing Your Organization:** Stay ahead of emerging trends and technologies in ethical culture auditing to enhance your organization's fraud prevention efforts.

This conference will equip attendees with valuable knowledge and skills to strengthen their organization's ethical culture and enhance their fraud prevention strategies.



Zoë Reiter

BIOGRAPHY

Zoë Reiter serves as the National Endowment for Democracy (NED) first combating kleptocracy director. In this role, Reiter leads the design and implementation to a “whole of NED” approach for supporting civil society around the world to detect, deter and dismantle kleptocratic systems. She brings more than fifteen years of experience to the role – working with pro-democracy organizations to build resilient and impactful strategies to fight kleptocracy and transnational corruption.

In 2019, she co-founded the Anti-Corruption Data Collective (ACDC), an international coalition that brings together leading journalists, data analysts, academics, and policy advocates to expose transnational corruption flows and push for policy change. From 2019 through 2023, she also served as director of strategic initiatives at the Project On Government Oversight (POGO), where she led work to strengthen US systems against money-laundering and malign foreign influence. Reiter was previously based in Berlin, where she worked for 12 years at the global secretariat of Transparency International (TI), the global anti-corruption movement, leading various multi-country programs and working closely with anti-corruption activists from Latin America to Eastern Europe to West Africa. For example, Reiter led the design and implementation of a program with nine Latin American and African chapters of TI, together with the United Nations Office on Drugs and Crime to make criminal justice systems more resistant to capture by organized crime and corrupt interests. In her last two years at TI, she led the re-establishment of the US office of TI. Reiter also brings over a decade of experience working in philanthropy as a consultant to The Ford Foundation.

Reiter holds a bachelor's degree in Latin American studies from Rice University, joint master's degrees in Latin American studies and community and regional planning from the University of Texas at Austin, and a master's degree in cultural anthropology from Columbia University.



Emerging Risks for Combating Corruption and Financial Crimes in the Current Geopolitical Landscape

In today's rapidly evolving geopolitical landscape, the fight against corruption and financial crime faces new and complex challenges. As traditional power structures shift and digital technologies advance, authoritarian regimes, corrupt actors, and criminal networks are exploiting regulatory gaps, fragmented international cooperation, and emerging technologies to obscure illicit financial flows. These developments necessitate innovative and coordinated responses from governments, financial institutions, multilateral organizations, civil society, as well as auditors, assurance and antifraud professionals.

The conference will delve into how geopolitical fragmentation creates opportunities for regulatory arbitrage, allowing criminal networks to exploit complex cross-border transactions. Participants will explore the dual impact of digital transformation, including cryptocurrencies and decentralized finance, which present both new vulnerabilities for money laundering and potential technological solutions to combat these threats. The role of civil society organizations as crucial watchdogs in exposing corruption, advocating for transparency, and holding powerful actors accountable will be a key focus.

Moreover, the session will emphasize the importance of public-private-civil society partnerships and information-sharing mechanisms in developing agile and effective anti-corruption frameworks. By fostering collaboration among these sectors, we can enhance our collective ability to detect, prevent, and respond to corruption and financial crime.

Key Takeaways

- **Geopolitical Fragmentation:** Understand how geopolitical fragmentation creates regulatory arbitrage opportunities that criminal networks exploit through complex cross-border transactions.
- **Digital Transformation:** Learn about the dual impact of digital transformation, including cryptocurrencies and decentralized finance, on money laundering vulnerabilities and technological solutions.
- **Role of Civil Society:** Recognize the crucial watchdog role of civil society organizations in exposing corruption, advocating for transparency, and holding powerful actors accountable.
- **Public-Private Partnerships:** Discover the importance of public-private-civil society partnerships and information-sharing mechanisms in developing effective anti-corruption frameworks.
- **Emerging Technologies:** Explore the capabilities of emerging technologies like AI in detecting suspicious patterns, while considering the ethical and privacy implications of their use.



Juan Ramirez Valdespino

GCFA

BIOGRAPHY

Juan Ramirez Valdespino, GCFA, is a highly accomplished professional in the field of digital forensics and forensic technology, currently serving as the Senior Manager - Digital Forensics at MNP. With over 11 years of experience, Juan has established a reputation for delivering high-quality results and insights in digital forensics, insider threat detection, and cybersecurity across the Americas region.

In his role at MNP, Juan leads a team of skilled professionals who provide digital forensics and litigation support to clients facing complex investigations, financial fraud, and cybersecurity incidents. His expertise is backed by prestigious certifications, including the GCFA, Nuix, and Brainspace, which attest to his technical proficiency and deep knowledge in the field.

Prior to joining MNP, Juan held the position of Senior Manager - Forensic Technology at EY, where he was recognized as an eDiscovery and digital forensics expert. During his tenure at EY, Juan played a pivotal role in managing forensic laboratories and spearheading initiatives to enhance service offerings with cutting-edge solutions. His leadership and technical acumen have been instrumental in addressing and resolving challenging situations in various work environments.

Juan's career is marked by a commitment to excellence and a passion for helping clients navigate the complexities of digital forensics and cybersecurity. He has been involved in numerous high-stakes cases, providing critical support in areas such as malware, ransomware, business email compromise (BEC), and forensic readiness response. His ability to manage and lead teams effectively has been a key factor in his success.

In addition to his professional achievements, Juan is dedicated to continuous learning and professional development. He has actively contributed to the advancement of forensic technology through participation in industry conferences and seminars, sharing his knowledge and expertise with peers and aspiring professionals.

Overall, Juan Ramirez Valdespino's extensive experience, technical expertise, and leadership skills make him a highly respected and influential figure in the field of digital forensics and forensic technology. His contributions have not only advanced the capabilities of his teams but have also significantly impacted the broader industry, setting a high standard for excellence and innovation.



Juan Ramirez Valdespino

From Traditional Internal Fraud to Cyber Fraud — Digital Forensic Investigation as a Comprehensive Mitigation Strategy

In today's digital age, fraud has become increasingly sophisticated, requiring advanced methods for detection, investigation, and prevention. This conference will explore the crucial role of digital forensics in combating fraudulent activities and will give attendees a comprehensive understanding of how cutting-edge forensic techniques and technologies can significantly enhance antifraud and corruption efforts.

The session will start by covering the fundamentals of digital forensics, providing a solid foundation for its application in fraud investigations. Participants will learn about various technologies and methodologies, including data collection, data analysis, open-source intelligence (OSINT), expert witness presentation, and artificial intelligence (AI). These tools are essential for detecting and preventing fraud, enabling professionals to uncover hidden patterns and anomalies that may indicate fraudulent or corrupt behavior.

A key focus will be on developing a proactive approach to fraud deterrence: continuous monitoring and collaboration between digital forensics, legal teams, internal control, IT departments, and Management are crucial for creating a robust fraud prevention strategy. By fostering synergy among these disciplines, organizations can enhance their ability to detect and respond to fraud in real-time, minimizing potential losses and reputational damage.

The session will feature case studies and real-world examples of successful fraud deterrence through digital forensics synergies. These examples will provide practical insights and strategies that attendees can apply within their own organizations. By examining these case studies, participants will gain valuable knowledge on implementing effective fraud deterrence measures and improving their overall fraud prevention capabilities.

Key Takeaways

- **Fundamentals of Digital Forensics:** Gain a thorough understanding of digital forensics and its application in corruption & fraud investigations.
- **Advanced Technologies:** Learn about the latest digital forensics technologies used to detect and prevent incidents, including data collection, data analysis, OSINT, expert witness presentation, and AI.
- **Proactive Fraud & Corruption Deterrence:** Develop strategies for continuous monitoring and collaboration between digital forensics, legal, internal control, IT, and management to create a comprehensive approach.
- **Real-World Case Studies:** Analyze successful examples of fraud deterrence through digital forensics, providing practical insights and strategies for implementation.

This conference will equip yourself with the knowledge and tools needed to effectively combat fraud and corruption in the digital age, ensuring your organization remains secure and resilient.



Sergio E. Huacuja Betancourt

BIOGRAPHY

He holds a Law Degree with Honors from the Escuela Libre de Derecho (Free School of Law). He holds a specialty in Administrative and Constitutional Law, a Master's Degree in Law with Honors from the National Autonomous University of Mexico, a Master's Degree in Legal Sciences with Honors from the Universidad Panamericana, a Diploma in Philosophy from the Universidad Panamericana, and specialized studies in Senior Business Management from the Pan-American Institute of Senior Business Management (IPADE). He also holds a Diploma in Government and Corporate Ethics, Anti-Corruption, and Conflict of Interest Prevention from the Escuela Libre de Derecho (Free School of Law). He also holds a Diploma in Anti-Corruption from the International Chamber of Commerce Mexico in conjunction with the Faculty of Law of the Universidad Panamericana in Mexico City.

He is a professor of postgraduate studies at the Free School of Law, specializing in criminal law and public law, and of the Master's program in administrative law at the Universidad Panamericana. He also teaches at the National Institute of Public Administration, where he served as coordinator of the Ethics Commission.

He is currently the Coordinator of the Anti-Corruption Commission of the Mexican Bar Association – College of Lawyers A.C. He was an active promoter of the General Law of Administrative Responsibilities (Law 3de3) before the Senate of the Republic.

In the areas of anti-corruption, public procurement, and public servant responsibilities, he has provided training to numerous federal and state public sector agencies and entities since 1986, accumulating more than 400 courses, seminars, and presentations on the aforementioned laws and their complementary regulations.

He is the author of the books "The Regulation of the Federal Government Procurement Process"; "The Abolition of Pretrial Detention"; and "The Contracting of Goods, Leases, and Services in the Federal Public Administration." He is also the author of several articles published in specialized journals on Law and Public Administration, relating to the public procurement regime, the administrative responsibilities of public servants, and the National Anti-Corruption System.

He was a speaker at the International Institute of Government Ethics in Washington, D.C. representing Mexico and at the Latin American Congress for Administration and Development in Guatemala, the Dominican Republic, Brazil, and Uruguay, and a consultant for the World Bank.

He belongs to various professional and academic organizations, within which he holds various positions and serves on various committees and activities. He is also a consulting attorney and Managing Partner of the law firms Huacuja Betancourt and Haw Mayer Abogados S.C. and Consultores Empresariales H.B. S.C.



Sergio E. Huacuja Betancourt

Mexico Against Corruption: Diagnosis, Challenges and Perspectives in the Current Environment

Corruption in Mexico continues to be one of the main obstacles to institutional development, citizen trust, and economic competitiveness.

This conference offers a deep and strategic look at the phenomenon of corruption in Mexico. Mr. Sergio Huacuja, a recognized jurist and one of the leading experts on administrative responsibilities and corruption crimes in the country, will present a thorough and up-to-date analysis of the structural, legal, and operational dynamics impacting corruption in the country.

The presentation will address the structural challenges faced in the fight against corruption and will analyze the emerging risks in the digital and global environment, such as transnational corruption, money laundering, and the misuse of technologies in public administration.

From a propositional perspective, Mr. Huacuja will propose courses of action to strengthen public integrity, improve accountability, and consolidate a culture of legality. International experiences, pending reforms, and prevention mechanisms that can be adapted to the Mexican context to strengthen institutional solidity, intergovernmental coordination, and transparency in public contracting will be highlighted.

This conference is aimed at professionals in assurance, auditing, and the safeguarding of resources across all sectors and organizations; decision-makers, internal control bodies, auditors, academics, and civil society actors committed to building a more transparent, efficient, and just state.

Key learning points:

- Updated diagnosis of the National Anticorruption System, of other key interinstitutional initiatives, and their real effectiveness.
- Analysis of the legal frameworks regarding administrative responsibilities and corruption offenses.
- Identification of institutional gaps and operational challenges in the fight against corruption, oversight, and government control.
- New threats: digital corruption, transnational issues, and risks in public procurement.
- Strategic proposals to strengthen public ethics, institutional integrity, and accountability.



Juliette Gust

CFE, PI

BIOGRAPHY

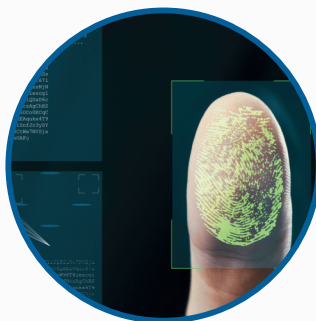
Juliette Gust co-founded Ethics Suite in 2017 and serves as its President. Ethics Suite offers an ethics hotline intake and case management platform, featuring critical compliance integrations, along with a comprehensive range of consulting, investigations, and ethics and compliance program-related services.

As the former Director of Internal Audit at one of the world's leading hospitality companies with more than 180,000 employees across 100 countries, Juliette developed and led the Global Fraud & Investigations team, with investigators reporting to her from China, Hong Kong, Dubai, and Belgium. She was responsible for the company's business integrity-related whistleblower hotline reports and investigations. Juliette also played a pivotal role as Project Manager and core member of the company's Anti-Corruption Compliance Program, where she worked with the team to develop a best-in-class global anti-corruption risk assessment, third-party due diligence, and audit program.

Prior to this role, she served as a subject matter expert within PwC's advisory practice, leading investigations and engagements both domestically and internationally on issues such as anti-money laundering, sanctions compliance, corruption and bribery, embezzlement, and financial statement fraud. Juliette has presented her findings and recommendations to executive boards, federal and state regulatory agencies, and audit committees.

Throughout her career, Juliette has personally conducted or overseen over 2,200 investigations in 75 countries and provided guidance on more than 11,000 employee ethics line reports concerning misconduct, theft, fraud, and employee relations. She has received multiple awards for her anti-fraud, anti-corruption, and anti-money laundering initiatives, including the Starwood Hotels & Resorts Worldwide CFO Experience Award and the Wall Street Journal Award for Excellence in Accounting. She was nominated for PwC's Chairman's Award for three consecutive years. A Certified Fraud Examiner since 2007, she is also fluent in Spanish.

In addition to her ethics and compliance and investigations expertise, Juliette brings a deep foundation in organizational leadership. Earlier in her career, she held senior operational roles with hospitality brands, including Carlson Restaurants, Caesars Palace, and Broadway Restaurants, where she managed multi-unit operations, supervised openings, and turnaround projects, and led teams of over 300 employees.



Developing a World-Class Whistleblowing and Case Management Program

In the fight against fraud and misconduct, forensic accountants, auditors and antifraud professionals play a pivotal role in managing whistleblower hotline programs (WB Hotlines) and investigating allegations. This presentation delves into the best practices for designing, implementing, managing, monitoring, and auditing an effective ethics and compliance reporting system. With advancements in AI and other technologies, modern intake and case management systems have evolved far beyond the traditional operator-assisted complaint processes.

Organizations with hotlines are nearly twice as likely to detect fraud through tips compared to those without, underscoring the importance of a confidential and reliable reporting system. This session will highlight how internal assurance professionals can foster fraud detection, compliance oversight and risk assessment procedures, ensuring that investigations are thorough, impartial, and well-documented.

Through Juliette's vast experience and thousands of cases investigated, attendees will learn practical lessons to implement clear reporting mechanisms, proper triage and escalation procedures, and comprehensive monitoring to track trends and improve prevention efforts. The presentation will address the challenges of overcoming trust issues, cultural resistance, and legal/privacy concerns, emphasizing the importance of leveraging forensic and audit expertise to strengthen credibility and foster a speak-up culture.

By implementing a well-structured ethics hotline and a case management system, organizations can proactively detect and mitigate fraud, protect whistleblowers, and foster a culture of accountability and transparency.

Key Takeaways

- **Enhanced Fraud Detection:** Understand the key features that make hotlines significantly improve fraud detection through tips.
- **Vital Role of Professionals:** Learn about the critical role of internal auditors, forensic accountants and antifraud professionals in managing and investigating WB Hotlines.
- **Overcoming Challenges:** Discover strategies to address trust issues, cultural resistance, and legal/privacy concerns in hotline management.
- **Continuous Improvement:** Learn the importance of tracking metrics, updating leadership, and refining policies to maintain an effective ethics and compliance program.

This conference is designed to equip attendees with the knowledge and tools needed to build and maintain a first-class whistleblower and case management program, enhancing their organization's ability to detect and prevent fraud and corruption.



Prabhat Kumar

CPA

BIOGRAPHY

Prabhat Kumar is the Vice President of Global Internal Audit Solutions at konaAI, a new start up global, AI driven technology company focused on anti-fraud, anti-corruption and enterprise risks. Prabhat leads konaAI global internal audit engagements and provides data driven strategies to optimize internal audit projects including continuous controls monitoring solutions. Prabhat works closely with the client's Chief Audit Executives (CAE), Chief Compliance Officers (CCO) and Senior leadership teams. This role works as an extension of the company's data analytics and data science capabilities, offering scalable capacity and expertise that goes beyond competitive solutions or internal builds. Prior to joining konaAI, Prabhat has led Chief Audit Executive role at global companies at some large global companies like Revlon Inc., Canon USA Inc. and Leviton Manufacturing Company.

He has 20 plus years of extensive experience in Enterprise Risk Management, Internal Controls, Internal Audit management, fraud investigations and strategic data analytics utilizing ACL, and Tableau to identify meaningful improvement opportunities in business processes.

Prabhat has been a member of the Long Island Chapter of the Institute of Internal Auditors since 1992 and has held the positions of President (2002-03), Executive Vice President, Treasurer and Board of Governor over the years. He continues to support the chapter program and speakers committee in the coming years. He also currently serves as an Internal Audit Committee member for the NYS Society of CPAs and a local school district. Prabhat is a CPA and is a member of the American Institute of Certified Public Accountants and the New York State Society of CPAs. Prabhat is also a Chartered Accountant from India.

**Prabhat Kumar**

Empowering Fraud & Corruption Prevention and Investigation Through Data Analytics

In the contemporary digital landscape, the immense volume of data generated presents both opportunities and challenges for organizations. By leveraging data analytics, organizations can more effectively detect and prevent fraudulent activities through the identification of patterns and anomalies indicative of misconduct. This conference emphasizes the necessity of integrating data analytics into anti-fraud and anti-corruption strategies to enhance the efficiency and effectiveness of investigations, and delivers practical examples and case studies to better attain this objective.

Mr. Prabhat Kumar will delve into the utilization of both structured and unstructured data in fraud examinations. While structured data, such as spreadsheets and databases, is commonly employed, unstructured data, including emails and social media, offers significant untapped potential. Advanced techniques such as predictive coding and text mining will be explored, providing deeper insights into fraudulent activities. Participants will learn how to harness these tools to improve their investigative processes and outcomes.

The conference will also discuss the latest trends and technologies in data analytics, providing participants with a comprehensive understanding of how to implement these tools effectively and insights into real-world applications, showcasing the impact of data analytics on fraud prevention and investigation.

Key Takeaways

- Understanding the distinction between structured and unstructured data and their applications in fraud detection.
- Learning advanced data analytics techniques such as predictive coding and text mining.
- Gaining insights into the integration of digital forensics and data analytics in investigations.
- Exploring real-world case studies and applications of data analytics in fraud prevention.
- Developing the skills to implement effective anti-fraud and anti-corruption programs using data analytics.

This conference aims to equip attendees with the knowledge and skills necessary to develop and implement robust anti-fraud and anti-corruption programs, ultimately fostering a culture of integrity and accountability within their organizations, with Data Analytics at its root.



Paul Starrett

BIOGRAPHY

Paul Starrett is a distinguished professional with a multifaceted career spanning over 25 years in privacy, data protection, and compliance technology. As the founder of PrivacyLabs, Paul has established a firm that specializes in providing cutting-edge solutions in these critical areas. His expertise extends to machine learning, cybersecurity, cloud security management, threat detection, and programming in Python, making him a versatile and highly skilled leader in the field.

His career began in law enforcement and corporate security and audit, where he developed a strong foundation in investigative techniques and risk management. He later transitioned into information security software engineering, electronic discovery, and information management, further broadening his technical and analytical skills. His extensive experience includes serving as general counsel and chief global risk officer for an international, publicly held data management corporation, where he led global legal operations and risk-management groups.

He was the founding chair of the Big Data Committee of the American Bar Association from 2013 to 2020 and remains active in several professional organizations, including the Association of Certified Fraud Examiners (ACFE), the International Association of Privacy Professionals (IAPP), and ISACA.

Paul is also an accomplished author and speaker who has presented extensively on data science (AI), electronic evidence, and data management at prestigious conferences and associations, including the American Records Management Association, Compliance Week, Society of Corporate Compliance and Ethics, the International Legal Technology Association, LegalTech (New York), and the High-Tech Criminal Investigations Association.

He holds a Master of Science in Predictive Analytics from Northwestern University and a Master of Laws (LL.M.) in Taxation. His certifications include computer forensics examiner (EnCE) and Certified Fraud Examiner (CFE). Paul is also an adjunct lecturer in AI Governance at Santa Clara University School of Law and an adjunct professor in Law and AI at the University of the Pacific's Masters in Data Science program.

His contributions to both the professional and academic communities continue to shape the future of data management and security.



Empowering Anti-Fraud Investigations – Machine Learning and Artificial Intelligence

Artificial intelligence (AI) has become a cornerstone for achieving competitive advantage, reducing risk, and boosting profitability in enterprises. However, with these benefits come significant regulatory, legal, and risk management challenges that must be prioritized. This presentation by Paul will delve into the AI ecosystem, covering its inception, development, and deployment phases.

Paul will explore how AI implementations can be compromised, whether through inadvertent errors or malicious actions. The risks associated with AI span the entire ecosystem and require a holistic, interdisciplinary approach to address them effectively. Attendees will gain insights into the various ways AI systems can be vulnerable to internal attacks, external threats, and unintentional mistakes.

The session will then focus on mitigation strategies to reduce risks across the AI technology spectrum. This will provide auditors and fraud examiners with valuable insights into appropriate controls and risk management techniques. Understanding these strategies is crucial for maintaining the integrity and security of AI systems.

Finally, the presentation will cover AI forensics, emphasizing the importance of gathering information in a forensically sound and defensible manner. This aspect is particularly relevant for supporting audits and incident response roles, ensuring that evidence is collected and analyzed correctly to support investigations and compliance efforts.

Key Takeaways

- **Understanding Real-World Threats:** Auditors and Antifraud experts will gain a deeper understanding of the real-world threats to AI technologies and deployments.
- **Conceptual Framework for Audits:** Attendees will leave with a coherent and organized conceptual basis for conducting AI-related audits and investigations.
- **Evidence Gathering Techniques:** Participants will learn how to gather evidence effectively for audits or post-incident examinations.
- **Risk Mitigation Strategies:** Insights into practical strategies for mitigating risks across the AI technology spectrum.
- **Holistic Approach to AI Security:** Emphasis and practical lessons on the importance of a holistic, interdisciplinary approach to securing AI systems and preventing compromises.

This conference will equip attendees with the knowledge and tools needed to navigate the complexities of AI security and forensics, ensuring robust and resilient AI implementations.



Kelly Paxton

CFE

BIOGRAPHY

Kelly Paxton has more than 20 years of investigative experience. Kelly is a Certified Fraud Examiner, author, and podcast host-founder of Fraudish.

Ms. Paxton started her career in law enforcement as a Special Agent for US Customs Office of Investigations in 1993. Ms. Paxton was recruited by US Customs for her expertise in finance. She worked white collar fraud, money laundering and narcotics cases. She also was responsible for the district's undercover operations and financial reporting of these operations. Kelly worked as a contract investigator doing over 1000 security background investigations for the Office of Personnel Management and Department of Homeland Security.

Kelly has worked in the public and private sector. Most recently she worked as an investigator for Nike. Her investigations include embezzlement, conflict of interest, intellectual property, Open Source Intelligence and fraud. Kelly is also the proud owner of pinkcollarcrime.com, a passion of hers about embezzlers in the workplace. She founded Great Women in Fraud in August, 2020. Her book, *Embezzlement How to Prevent, Detect and Investigate Pink-Collar Crime*, was published in December, 2020.



Catch Me If You Can! – Today’s Pink-Collar Criminals

Embezzlement is the crime that every business owner, government agency, PTA, and youth sports league worries about. Protecting assets is a top priority. The loss of money is devastating. However, what is worse is the loss of time which is unrecoverable and the loss of trust which takes the biggest toll. When embezzlement happens it is often committed by a long-term, trusted employee. They have worked side by side with you for potentially years or even decades.

Often, they are part of the family. These embezzlers live amongst us. This crime is the relatable crime because most everyone knows some business or person who has been affected by embezzlement.

Join renowned Pink Collar Crime expert Kelly Paxton to understand this growing demographic. This topic is Kelly’s most widely requested due to the recent increase in embezzlement and fraud. Kelly will show you what to look for in your business to make sure your most trusted employee is not a Pink Collar Criminal.

Key Takeaways

Attend Catch Me If You Can and learn:

- What is the difference between White Collar Crime and Pink Collar Crime?
- Why is Pink Collar Crime growing?
- Who is the “typical” Pink Collar Criminal?
- How to identify “pink flags”
- Tips and tricks for investigating and interviewing a Pink Collar Criminal
- And more...

Kelly Paxton has investigated hundreds of cases ranging from money laundering to conflict of interest to embezzlement. Her hands-on investigative experience for the government and private sector along with research into criminal behavior and investigating for both the prosecution and defense provides real-life, practical information.



Felipe López

BIOGRAPHY

Felipe Lopez is a Latin American benchmark in the design and implementation of strategic solutions for risk management, regulatory compliance, and corporate sustainability. Throughout his career, he has built a solid and multidisciplinary trajectory that combines academic knowledge with practical experience in corporate governance and digital transformation.

Currently pursuing a Ph.D. in Economics and Business and holding an MBA, Felipe has focused his career on strengthening organizational resilience through technology and integrated risk management. His academic background is complemented by prestigious international certifications such as ISO 31000 (Risk Management), **ISO 37001 (Anti-Bribery)**, **ISO 27001 (Information Security)**, **ISO 22301 (Business Continuity)**, and **CISM (Certified Information Security Manager)**, which support his ability to lead complex projects in highly regulated and demanding environments.

Felipe has advised companies across various sectors in Latin America, guiding them in the adoption of GRC (Governance, Risk, and Compliance) platforms that integrate internal auditing, sustainability, and business ethics as pillars of modern and effective governance. His approach is based on creating sustainable value, helping organizations anticipate risks, comply with regulatory frameworks, and strengthen their institutional reputation.

In addition to his executive role, Felipe is a regular speaker at specialized forums, where he shares his insights on the evolution of compliance, the digitalization of critical processes, and the importance of fostering an organizational culture rooted in integrity and transparency.

His leadership, strategic vision, and commitment to excellence position him as a key figure in the GRC ecosystem in Latin America, where he continues to drive innovative solutions that connect technology with the current challenges of the business environment.



Marilen Correa

BIOGRAPHY

Marilen Correa is a prominent leader in digital transformation and strategic management across Latin America, with over a decade of experience driving technology solutions aimed at enhancing efficiency, regulatory compliance, and sustainability in organizations from various sectors.

She currently serves as the Commercial Manager at Grupo Mitiga LATAM, a firm specializing in SaaS solutions for corporate governance, risk management, compliance (GRC), sustainability, and internal auditing.

Throughout her career, Marilen has successfully led commercial initiatives that have enabled both regulated and non-regulated companies to adopt innovative technologies to address the evolving challenges of the corporate environment. Her approach combines strategic vision with a deep understanding of operational and regulatory needs, positioning her as an influential voice in promoting GRC systems as key tools for ethical, efficient, and sustainable decision-making.

At Grupo Mitiga, Marilen has played a pivotal role in expanding technological solutions that help organizations mitigate strategic, financial, operational, and cybersecurity risks, while also strengthening their compliance and audit mechanisms. Her leadership has contributed to establishing the company as a benchmark in Latin America for the digitalization of critical corporate governance processes.

In addition to her executive role, Marilen is an active speaker at specialized forums, where she shares her expertise on the integration of technology and business management, advocating for an organizational culture rooted in transparency, accountability, and continuous improvement.

Her commitment to excellence, innovation, and positive organizational impact makes her a key figure in the Latin American business ecosystem, where she continues to inspire leaders and teams to transform their operations with a forward-looking vision.



GRC and Whistleblowing Channels: Strengthening the Corporate Immune System

In a business environment increasingly exposed to ethical, regulatory, and reputational risks, having a robust organizational defense system is no longer optional—it is a strategic necessity.

This session presents an integrated vision of **Corporate Governance, Risk Management, and Compliance (GRC)**, in synergy with an effective whistleblowing channel, as the equivalent of an organization's immune system: a living, preventive, and reactive network that protects its integrity from within.

Through a practical and strategic approach, the session will explore **how to design, implement, and properly support these tools in an interconnected way**, in order to create synergies that enhance their effectiveness. Key elements will be addressed for building a solid GRC ecosystem, where the whistleblowing channel is not just a passive inbox, but an active pathway for participation, trust, and continuous improvement.

Real-world cases, key performance indicators (KPIs), and technological automation strategies will be presented, demonstrating how these mechanisms can be transformed into strategic assets aligned with business objectives and organizational sustainability.

This session is aimed at compliance leaders, internal auditors, risk managers, executives, and anti-fraud professionals interested in strengthening ethical culture, assurance, and governance within their organizations.

Key Learning Takeaways:

- How to structure a GRC system aligned with business objectives, enabling risk anticipation and stronger decision-making.
- Design and implementation of reliable and effective whistleblowing channels that encourage safe and active employee participation.
- Key indicators to measure the effectiveness of GRC and whistleblowing systems, and how to interpret them for continuous improvement.
- The role of organizational culture and ethical leadership as catalysts for success in preventing fraud and corruption.
- Use of technology to automate monitoring, analysis, and response processes, reducing reaction times and increasing responsiveness.



Dr. Doug White

CISSP, CCNA

BIOGRAPHY

Dr. Doug White has been a leader in the tech and cybersecurity space for over 30 years, specializing in digital forensics, security consulting, and education. A Certified Computer Examiner (#30) and forensic trainer for the ISFCE, he has played a key role in accrediting international crime labs and has served as a Sarbanes-Oxley Auditor. Doug holds a PhD in Computer Information Systems and Quantitative Analysis from the University of Arkansas.

Dr. White holds multiple industry certifications, including the CISSP and CCNA, and is a licensed private investigator in the State of Rhode Island. His consulting work spans Fortune 500 companies, law enforcement agencies, such as the Rhode Island State Police, and organizations of all sizes.

Currently, he is the host of the Security Weekly News (securityweekly.com) and a tenured professor of cybersecurity at Roger Williams University in Bristol, RI.



Preservation of Digital Evidence in Audit Findings and Anti-Fraud Investigations

In today's digital age, preserving digital evidence is paramount due to the increasing reliance on technology for communication, transactions, and data storage. However, digital evidence is inherently fragile and can be easily altered, deleted, or corrupted if not properly preserved.

Ensuring the integrity and authenticity of digital evidence through meticulous preservation techniques is essential to maintain its admissibility in court and to uphold the credibility of the investigative process.

In this presentation, Doug White, Ph.D., CCE, CCNA, CISSP, PI (RI), reviews techniques for preserving various types of digital evidence and considerations for acquiring digital evidence in both traditional and cloud environments. The session covers the physical acquisition (imaging) of digital devices, acquisition of folders, acquisition of cloud-based materials, and the preservation of digital evidence in multiple formats.

Additionally, the session discusses virtual machines and other methods where evidence may be concealed and ways to acquire and preserve that type of evidence. Both NIST Special Publication 800-861 and NIST Special Publication 800-101r12 serve as foundational resources for auditors and antifraud professionals seeking to develop organizational policies.

By adhering to best practices in digital evidence preservation, auditors, CFEs and assurance professionals can safeguard the integrity of their engagements and investigations while contributing to the broader goal of maintaining trust and transparency in the digital landscape, attaining effective risk management and legal compliance, and ensuring that digital data remains reliable and credible.

Key Takeaways:

- Hashing evidence to preserve the chain of custody.
- Forensic duplication of evidence to maintain integrity.
- Protecting acquired evidence.
- Risks and benefits of air-gapping.
- Snapshotting virtual machines.
- Capturing Docker and Kubernetes-based evidence.
- Considerations for cloud-based evidence and its recovery.



Michele Crymes

ESQ

BIOGRAPHY

Michele Crymes is an anti-corruption and governance expert currently advising organizations on integrity and compliance strategy. Most recently, she served as Deputy Director at the Center for International Private Enterprise (CIPE), where she led global private sector integrity initiatives, including collective action programming and humanitarian integrity efforts.

Michele has also worked as an investigative attorney, ensuring compliance with the U.S. Foreign Corrupt Practices Act (FCPA) for multinational companies based in Mexico, Brazil, and Peru. Her career also includes developing rule of law and human rights programs in the Philippines, Fiji, and Vietnam as a Program Manager with the American Bar Association's Rule of Law Initiative. Additionally, she managed USAID-funded anti-corruption and rule of law programs in Colombia, East Timor, and Central America.

With over a decade of experience in compliance, risk management, and corporate governance, Michele specializes in mitigating regulatory risks, leading high-stakes investigations, and strengthening internal controls for global organizations. Her expertise encompasses FCPA compliance, due diligence, third-party risk management, and corporate ethics, ensuring companies operate with integrity while safeguarding financial and operational stability.

Michele has successfully led global compliance programs, reducing legal exposure by over \$200 million, and developed risk mitigation frameworks adopted across more than ten emerging markets. From designing due diligence tools that enhance vendor transparency to advising C-suite executives on governance strategies, she brings a business-first approach to compliance—ensuring that risk management not only protects but also drives growth.

Fluent in English, Spanish, and Portuguese, Michele thrives in global environments and excels at engaging executives, legal teams, and regulators to implement compliance solutions that align with both regulatory expectations and business objectives. Her extensive experience, strategic vision, and commitment to integrity make her a highly respected and influential figure in the field of anti-corruption and corporate governance.



Gabriela Blanco

BIOGRAPHY

Gabriela Blanco Munguia is a distinguished expert in compliance and business integrity, with a robust career dedicated to enhancing corporate governance and ethical practices across various sectors. Currently, she serves as a Senior Specialist in Corporate Integrity and Team Leader at the **Center for International Private Enterprise**, where she has been instrumental in driving transparency and integrity initiatives.

Gabriela's experience includes her role as Vice President of Corporate Compliance at the Asociación Mexicana de Integridad y Compliance, a position she has held since April 2018. In this capacity, she has been pivotal in shaping compliance strategies and fostering a culture of integrity within organizations across Mexico.

Additionally, she has served as the Head of Legal Compliance at the Consejo Internacional de Integridad y Buen Gobierno since February 2017, where she has led the implementation of comprehensive compliance management systems, anti-bribery measures, risk management frameworks, and initiatives to prevent money laundering and fraud.

She also was Vice President of Integrity and Compliance for the Government Procurement Commission at CONCAMIN, a role she held from February 2017 to July 2024. Gabriela's contributions in this position have been critical in promoting ethical procurement practices and ensuring compliance with regulatory standards.

Gabriela holds an Advanced Certificate in Regulatory Compliance from the International Compliance Association, obtained in 2017. She also earned her Master of Business Administration (MBA) from ESERP Business & Law School in 2016. Her foundational education includes a Law degree from Universidad Iberoamericana, Ciudad de México, completed in 2014.

Her work has significantly reduced legal exposure for organizations and enhanced their operational integrity. Gabriela continues to be a leading voice in the field, committed to promoting ethical business practices and fostering a culture of compliance and integrity.



Building Trust: Responsibility of Micro, Small, and Medium Enterprises (MSMEs) in Strengthening Integrity Systems in Mexico and Abroad

This fireside chat will explore the critical role of micro, small and medium enterprises (MSMEs) in strengthening integrity systems globally and in Mexico. The panel experts will discuss the evolution of private sector integrity initiatives, the challenges and opportunities for Mexican MSMEs to participate, and strategies for incorporating MSMEs into broader anti-corruption efforts. The discussion will highlight the economic importance of MSMEs, particularly in Mexico, and how their involvement in integrity initiatives can positively influence their growth and competitiveness.

MSME represents nearly 90% of businesses globally and 95% in Mexico and are vital to the country's economy. However, MSMEs often face barriers to engaging in integrity and anti corruption initiatives. The panel experts will examine the history of private sector integrity efforts globally and in Mexico, the role of MSMEs in participating in ethical private sector initiatives, and practical steps for engaging MSMEs. Panel experts will also share experiences which highlight the benefits ethics and integrity have for MSMEs. Finally, panelists will share examples of successful MSME integrity initiatives in collective action programs.

Key Takeaways:

- Historical Context: an overview of international and Mexican private sector integrity initiatives.
- MSMEs as Integrity Champions: understanding the role of MSMEs in promoting ethical business practices and reducing corruption risks and how they can play an essential role in creating ethical supply chains.
- Overcoming Barriers: Strategies to support MSMEs in adopting integrity best practices (eg. capacity building, incentives and policy recommendations).
- Future of Integrity in Mexico: Practical steps for MNCs, policymakers, and international organizations which can enhance MSME participation in integrity and anti-corruption initiatives.
- The Role of Regulatory Frameworks in Supporting MSMEs: Discussing the importance of government policies and regulatory frameworks that can empower MSMEs to implement integrity systems, particularly in countries like Mexico where compliance challenges can be significant.
- The Business Case for Integrity: Emphasizing the long-term financial and reputational benefits of adopting integrity systems, such as improved access to markets, better relationships with customers, and enhanced brand value.



Luz María Guzmán Lozano

BIOGRAPHY

Luz María Guzmán Lozano is an expert in anticorruption, holds a Master's degree in Comparative Law (LL.M) from McGill University in Montreal, Canada, and has a law degree from the University of Monterrey. Additionally, she has a diploma in alternative dispute resolution.

Luz María has over 15 years of professional experience in public administration, anti-corruption, gender violence prevention, and public security.

He held various positions in government institutions of the State of Nuevo León such as the State Institute for Women, the General Secretariat of Government, and the Secretariat of Public Security.

She was a university professor at Anáhuac Mayab University in subjects of International Law and Public Service Responsibility.

She held the position of Conciliator and Head of the Transparency Unit in the Administrative Litigation Court of the Municipality of Mérida.

In recent years, he has coordinated various anti-corruption projects at the United Nations Office on Drugs and Crime (UNODC) in Mexico. He is currently the Head of the Anti-Corruption Program at that Office.



Luz María Guzmán Lozano

Corruption as an Enabling Factor of Transnational Organized Crime - United Nations Best Practices and Perspectives for its Prevention and Combat.

Through the United Nations Convention against Corruption (UNCAC), a comprehensive approach is promoted to prevent, punish, and eradicate corruption, strengthening institutional integrity and international cooperation. On its part, the United Nations Convention against Transnational Organized Crime (UNTOC) complements this framework by providing legal tools to confront criminal networks that operate globally. Both Conventions are interconnected, as corruption allows organized crime to infiltrate institutions, evade controls, and operate with impunity.

This presentation will address various strategies to combat corruption and economic crimes, based on the premise that the joint and coordinated implementation of the UNCAC and UNTOC can strengthen institutional capacities, close spaces of impunity, and promote a culture of legality, cooperation, and accountability.

Among these strategies are the promotion of transparency and access to information through independent institutions; the strengthening of oversight and anti-corruption bodies; adequate protection for whistleblowers; the use of advanced technology as means of prevention and detection; and the promotion of a culture of integrity from basic education to professional training.

In this way, the implementation of the Conventions and the strengthening of key institutional initiatives and capacities can generate positive effects in the short term, especially concerning institutions that play a crucial role in the fight against corruption in Mexico, such as the Secretariat of the Civil Service (SFP), the Superior Audit Office of the Federation (ASF), the Specialized Prosecutor's Office for Combating Corruption, and the National Anti-Corruption System (SNA), among others.

The above requires a technical vision for confronting corruption, including the implementation of legal reforms, the strengthening of internal controls, the promotion of citizen participation, and international cooperation, as well as the political will at the highest level to close the door to impunity.

5 key learning points for attendees:

- Identify the main mechanisms of the UNCAC and UNTOC to address these phenomena.
- Analyze the need to implement both conventions in a coordinated manner, in conjunction with institutional strengthening.
- Explore good practices and comprehensive approaches based on integrity, cooperation, and legality, in accordance with the lessons learned and successful cases in the international order.
- Analyze the role of Mexican institutions that are crucial for combating corruption, and the possible key actions they can take to generate synergies and achieve a positive impact.